

# NATIONAL CHUNG HSING UNIVERSITY

## Directives for the Incentivization of Research and Development Outcomes and Technology Transfers

March 28, 2003—Approved by the 1<sup>st</sup> Research and Development Meeting of the 2<sup>nd</sup> semester of Academic Year 2002–03

March 25, 2005—Amended and approved by the 1<sup>st</sup> Research and Development Meeting of the 2<sup>nd</sup> semester of Academic Year 2004–05

March 31, 2008—Amended and approved by the 1<sup>st</sup> Research and Development Meeting of the 2<sup>nd</sup> semester of Academic Year 2007–08

October 26, 2009—Amended and approved by the 1<sup>st</sup> Academia–Industry Collaboration Intellectual Property Management Committee meeting of the 1<sup>st</sup> semester of Academic Year 2009–10

May 25, 2016—Amended and approved by the 1<sup>st</sup> Intellectual Property and Technology Transfer Committee meeting of the 1<sup>st</sup> semester of Academic Year 2015–16

September 4, 2019—(Title and all articles) amended and approved by the 426<sup>th</sup> Administrative Meeting and, on September 19, 2019, the 1<sup>st</sup> University Endowment Fund Management Committee of Academic Year 2019–20

June 16, 2021—(Article 5) amended and approved by the 441<sup>st</sup> Administrative Meeting and, on October 7, 2021, the 1<sup>st</sup> University Endowment Fund Management Committee of Academic Year 2021–22

August 31, 2020—(Articles 1 and 3) amended and approved by the 450<sup>th</sup> Administrative Meeting and, on November 24, 2022, the 1<sup>st</sup> University Endowment Fund Management Committee of Academic Year 2022–23

Article 1 National Chung Hsing University (hereinafter, NCHU or “the University”) has formulated the NCHU *Directives for the Incentivization of Research and Development Outcomes and Technology Transfers* (“the Directives”) in accordance with the National Science and Technology Council (NSTC) *Government Scientific and Technological Research and Development Results Ownership and Utilization Regulation* and the University’s *R&D Outcomes and Technology Transfer Award Regulations* to promote outstanding research and development outcomes by NCHU faculty and staff members and contributions to technology transfers made by research personnel.

Article 2 The incentives described herein shall be awarded to personnel who have made contributions to a successful technology transfer project that has produced actual revenue. The determination of which research personnel are to be recognized for their work shall be made in a fair, objective, and unbiased manner by the Director of the Academia-Industry Collaboration Center (“the Center”) based on tangible contributions.

Article 3 Sources of funds:

1. The [NSTC](#) Outstanding Technology Transfer Contribution Award (bestowed by the [NSTC](#) in accordance with its *Guidelines for the Academic Research and Development Results Management and Promotion Subsidies*):

For technology transfers involving research and development outcomes belonging to [NSTC](#) or belonging to the University but derived from an [NSTC](#)-commissioned research project, the University may apply for an [NSTC](#) Outstanding Technology Transfer Contribution Award if the total licensing fee and royalty revenue exceeds NT\$1,000,000. One Award may be granted for each research and development outcome.

2. The [NSTC](#) Subsidy for Outstanding Technology Transfer Centers (issued by the [NSTC](#) in accordance with its *Guidelines for the Academic Research and Development Results Management and Promotion Subsidies*):

Institutions commissioned by the [NSTC](#) which have a dedicated authority for managing technology transfers, which have successfully completed at least five technology transfer projects in the most recent three-year period, and which have generated at least NT\$1,500,000 in actual revenue may apply for a subsidy with the [NSTC](#).

3. Royalties from technology transfer projects

Article 4 Distribution of awards, subsidies, and royalties:

1. The [NSTC](#) Outstanding Technology Transfer Contribution Award shall be distributed

to the contributing research and development personnel (60%), staff members have worked on the technology transfer project with tangible achievements (20%), and the University (20%). Each project shall be processed separately. The sources of funds shall be subject to Article 3, Paragraph 1 herein.

2. The **NSTC** Subsidy for Outstanding Technology Transfer Centers shall be granted to the General Center for Academia-Industry Collaboration and shall be combined with a matching fund of the same amount provided by the University for use in R&D results management, promotion, and related initiatives. The sources of funds shall be subject to Article 3, Paragraph 2 herein.
3. Royalties from technology transfer projects shall be allocated to the contributing research and development personnel and staff members who handled the technology transfer project with tangible achievements in accordance with the following provisions:
  - 1) The percentage of royalties distributed to contributing research and development personnel shall be subject to the provisions of Article 7 (“Royalties Allocation”) of the University’s *Regulations Governing the Management and Utilization of Research and Development Outcomes*.
  - 2) The percentage of royalties distributed to staff members who contributed to the technology transfer project with tangible achievements but who were not directly involved in the research and development process shall be determined through profit-sharing negotiations with the R&D representative. Royalties allocated to such staff members shall be deducted from the royalties paid to the R&D personnel.
  - 3) Pursuant to Article 8 of the University’s *Regulations Governing the Management and Utilization of Research and Development Outcomes*, Center staff who are recognized by the director for their contributions to the success of a technology transfer project may receive a cash bonus in the amount of 5% of the actual revenue allocated to the University Endowment Fund. The aforementioned cash bonus shall be issued on an annual basis pending the Center’s approval.

Article 5 These Directives and any amendments made hereto shall be implemented upon review by the Center’s **Patent and** Technology Transfer Committee and approval by the University Endowment Fund Management Committee.